

U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 11-2000)		ATTORNEY'S DOCKET NUMBER <b>205502-9004</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. <b>PCT/CA00/00074</b>	INTERNATIONAL FILING DATE <b>January 31, 2000</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5 <b>09/890604</b>
PRIORITY DATE CLAIMED <b>February 1, 1999</b>		
TITLE OF INVENTION <b><u>Modification of Lignin Composition of Gymnosperms</u></b>		
APPLICANT(S) FOR DO/EO/US <b>ELLIS, David Dunham; CHAPPLE, Clinton Charles Spencer; GILBERT, Margarita</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>• Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>See attached sheet.</b></p>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/89064**

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

21.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 860.00

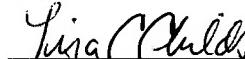
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	54 - 20 =	34	x \$18.00	\$ 612.00
Independent claims	9 - 3 =	6	x \$80.00	\$ 480.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 2,222.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			+ \$1,111.00	
<b>SUBTOTAL =</b>				\$ 1,111.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1,111.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,111.00
			<b>Amount to be refunded:</b>	\$
			<b>charged:</b>	\$

- a.  A check in the amount of \$ 1,111.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0064. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

MICHAEL BEST & FRIEDRICH LLC  
401 N. Michigan Ave., Suite 1700  
Chicago, IL 60611

  
SIGNATURE

Lisa C. Childs

NAME

39937

REGISTRATION NUMBER

**IN THE**  
**UNITED STATES PATENT & TRADEMARK OFFICE**

09 / 890604

IN RE APPLICATION OF:	ELLIS, et al.	)	EXPRESS MAIL
CASE:	205502-9004	)	CERTIFICATE OF
SERIAL NO.:	NOT YET ASSIGNED	)	MAILING FOR:
FILED ON:	HEREWITH	)	U.S. National Phase
FOR:	Modification of Lignin	)	Application based on
	Composition of Gymnosperms	)	PCT/CA00/00074 filed
		)	January 31, 2000

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, DC 20231

Dear Sirs:

- AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY NECESSARY FEES: If any charges or fees must be paid in connection with the following Communication (including but not limited to the payment of issue fees), they may be paid out of our deposit account No. 12-0064. If this payment also requires a Petition, please construe this authorization to pay as the necessary Petition which is required to accompany the payment.

Applicant herewith petitions the Commissioner of Patents and Trademarks to extend the time for response to the Office Action dated \_\_\_\_\_ for \_\_\_\_ () month(s) from \_\_\_\_\_ to \_\_\_\_\_. Submitted herewith is check No. \_\_\_\_\_ which includes the cost of the extension. If a check is lost, or otherwise does not accompany this Petition, please charge my deposit account number 12-0064 in the appropriate amount to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

EXPRESS MAIL mailing label EL 874051514 US

Date of Deposit August 1, 2001

I hereby certify that the above listed papers or fees are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. The person mailing these papers/fees is:

PRINT: David Bermejo

## SIGNATURE

**MICHAEL BEST & FRIEDRICH LLC**  
**(Incorporating)**  
**LAFF, WHITESEL & SARET LTD.)**  
**401 North Michigan Avenue, Suite 1700**  
**Chicago, Illinois 60611-4212**  
**(312) 661-2100 Fax: (312) 661-0029**

Attorney Docket No. 205002-9004  
Serial No.: NOT YET ASSIGNED

Additional Sheet to PTO-1390 (Rev. 11-2000): Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371

- PCT Request (41193-PT)
- Notification of the Recording of a Change (PCT/1B/306 (March 1994))
- Notification Concerning Submission or Transmittal of Priority Document (PCT/1B/304 (July 1998))
- Notification of Receipt of Demand by Competent International Preliminary Examining Authority(PCT/IPEA/402 (July 1998) P20452 (13/09/2000))
- Written Opinion (PCT/IPEA/402 (cover sheet) (January 1994))
- Reply to Written Opinion
- Notification of Transmittal of the International Search Report or the Declaration (PCT/ISA/220 (July 1998))
- Notification of the Transmittal of the International Preliminary Examination Report (PCT/IPEA/416 (July 1992))

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